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UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX 1450
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JOHN KENNETH AMICK 980 N.W. 49th WAY COCONUT CREEK FL 33063

COPY MAILED

JUL 0 7 2009

OFFICE OF PETITIONS

In re Application of

AMICK and KLEIMAN

Application No. 09/556,439

Filed: 04/24/2000

Title: VIRTUAL

VOICE/COMPANY/OFFICE NETWORK TOOL KIT, METHOD, AND COMPUTER

PROGRAM PRODUCT

DECISION ON PETITION

This is in response to the petition under 37 CFR 1.181, filed March 25, 2009, to withdraw the holding of abandonment in the above-identified application.

On November 13, 2008, the Office mailed a Notice of Allowance and Fee(s) Due, which set a three month statutory period to submit the issue fee. The application became abandoned on February 14, 2009. On March 9, 2009, the Office mailed a Notice of Abandonment.

In the present petition, petitioners request that the Office withdraw the holding of abandonment because they did not receive the Notice of Allowance at the correspondence address of record. Petitioners submitted a copy of their docket records and attested to the fact that a search of their records revealed that they did not receive the Notice of Allowance mailed on November 13, 2008.

A review of the record does not indicate any irregularity in the mailing of the Notice of Allowance and in the absence of any irregularity in the mailing, there is a strong presumption that the Notice was properly mailed to the address of record. If an allegation that an Office action was never received is adequately supported by an applicant on petition, the Office may grant the petition to withdraw the holding of abandonment and remail the Office action. Pursuant to MPEP 711.03(c)(I)(A), the showing required to establish nonreceipt of an Office communication must include a statement describing the system used for recording an Office action received at the correspondence address of record with the USPTO. The statement should establish that the docketing system is sufficiently reliable. The practitioner or pro se applicant must also state that the Office action was not received at the

correspondence address of record, and that a search of their records, including any file jacket or the equivalent, and the application contents, indicates that the Office action was not received. A copy of the record(s) used by the practitioner or pro se applicant where the non-received Office action would have been entered had it been received is required.

As petitioners are pro-se applicants, the Office understands that petitioners may not keep a formal docket record system for their correspondence with the USPTO. However, the submission of a copy of the docket record alone does not replace the requirement for a statement describing the system used for recording and tracking Office communications received at the correspondence address of record and showing that the system is sufficiently reliable. Thus, petitioners must provide an explanation of the manner in which they receive mail from the USPTO, maintain files for patent matters, and treat mail received for such matters. Specifically, petitioners must explain in detail their system for keeping track of patent matters - where petitioners keep the correspondence; where they write the due dates; how they know when replies are due, etc. In essence, petitioners must show how they remind themselves of response due dates and show that the due date for the Notice of Allowance was not entered into that system. Petitioner should include any available documentary evidence such as copies of any records or other methods, which could serve as a reminder of the due date for a response to an Office communication, and where petitioner would have entered the receipt date of the Office communication had petitioner received it (for example, a copy of the outside of a file or a calendar maintained by petitioner), if these documents are available.

In view of the above, the petition to withdraw the holding of abandonment is <u>dismissed</u>. A copy of the Notice of Allowance accompanies this decision for petitioners' convenience.

Any request for reconsideration of the dismissal of the petition to withdraw the holding of abandonment must be submitted within **TWO (2) MONTHS** from the mailing date of this decision and contain the signatures of both inventors. The request for reconsideration should include a cover letter entitled "Renewed Petition under 37 CFR 1.181 to Withdraw the Holding of Abandonment," as well as statements and documentary evidence, as indicate above, to demonstrate nonreceipt of the Notice of Allowance. Extensions of time are permitted under 37 CFR 1.136(a).

If petitioners are unable to provide the information requested above, petitioner may file a petition to revive the application pursuant to 37 CFR 1.137(b) on the basis of unintentional delay instead of filing a Renewed Petition under 37 CFR 1.181.

A grantable petition pursuant to 37 CFR 1.137(b) must be accompanied by:

- (1) The reply required to the outstanding Notice of Allowance;
- (2) The petition fee as set forth in § 1.17(m), currently a \$810.00 fee for a small entity; and,

(3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

The appropriate form for filing a petition under 37 CFR 1.137(b) is enclosed.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By FAX:

(571) 273-8300

Attn: Office of Petitions

By hand:

Customer Service Window

Randolph Building 401 Dulany Street Alexandria, VA 22314

Correspondence may also be submitted electronically via EFS-Web.

Telephone inquiries related to this decision may be directed to the undersigned at (571) 272-3211.

C. f. Donnell

Christina Tartera Donnell Senior Petitions Attorney Office of Petitions

Enclosures: Copy of the Notice of Allowance and Form for Petition for Revival of an Application for Patent Abandoned Unintentionally under 37 CFR 1.137(b)

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

11/13/2008

JOHN KENNETH AMICK 980 N.W. 49th WAY COCONUT CREEK, FL 33063

EXA	MINER
KANG	, PAUL H
ART UNIT	PAPER NUMBER
2444	

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO 09/556,439 7593

04/24/2000

John Kenneth Amick

8006-0019-13

DATE MAILED: 11/13/2008

TITLE OF INVENTION: VIRTUAL VOICE/COMPANY/OFFICE NETWORK TOOL KIT, METHOD, AND COMPUTER PROGRAM PRODUCT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	02/13/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:**

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE

Commissioner for Patents
P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)			Fee(Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must		
			papo have	ers. Each additional e its own certificate (paper, such as an assignme of mailing or transmission.	ent or formal drawing, must
JOHN KENNI 980 N.W. 49th V COCONUT CR	ETH AMICK WAY	/ 2008		Certi	ficate of Mailing or Trans	smission g deposited with the United st class mail in an envelope above, or being facsimile date indicated below.
						(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/556,439	04/24/2000	NATION OF STREET	John Kenneth Amick		8006-0019-13	7593
TITLE OF INVENTION	n: VIRTUAL VOICE/CO	MPANY/OFFICE NETY	WORK TOOL KIT, METH	OD, AND COMPU	TER PROGRAM PRODUC	CT
		•				
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	02/13/2009
EXAM	IINER	ART UNIT	CLASS-SUBCLASS			
KANG,	PAUL H	2444	709-227000			·
CFR 1.363). Change of corresp Address form PTO/S "Fee Address" ind	ence address or indication condence address (or Cha B/122) attached. lication (or "Fee Address' 302 or more recent) attach	nge of Correspondence	2. For printing on the p. (1) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or a 2 registered patent attorlisted, no name will be	3 registered patent vely, e firm (having as a rigent) and the name: meys or agents. If no	member a 2	
	less an assignee is identi th in 37 CFR 3.11. Comp		THE PATENT (print or typedata will appear on the part of the part	atent. If an assigned		document has been filed for
Please check the appropr	riate assignee category or	categories (will not be pa	rinted on the patent):	Individual Cor	poration or other private gr	oup entity Government
Advance Order -	No small entity discount p	permitted)	b. Payment of Fee(s): (Plea A check is enclosed. Payment by credit care The Director is hereby overpayment, to Depos	d. Form PTO-2038 authorized to charg		eficiency, or credit any
_ ` '	i <mark>tus (from</mark> status indicated is SMALL ENTITY statu	,	☐ b. Applicant is no long	ger claiming SMALI	L ENTITY status. See 37 C	FR 1.27(g)(2).
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if requeecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than th			he assignee or other party in
Authorized Signature				Date		
		Registration No.				
This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	nation is required by 37 C tiality is governed by 35 d application form to the ions for reducing this but iriginia 22313-1450. DC 113.1450.	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the NOT SEND FEES OR	on is required to obtain or re 1.14. This collection is est depending upon the indiv- e Chief Information Office COMPLETED FORMS TO	etain a benefit by the imated to take 12 m idual case. Any con r, U.S. Patent and T D THIS ADDRESS.	e public which is to file (an inutes to complete, includi nments on the amount of tirademark Office, U.S. Der SEND TO: Commissioner	d by the USPTO to process) ng gathering, preparing, and me you require to complete partment of Commerce, P.O. for Patents, P.O. Box 1450,

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/556,439	04/24/2000	John Kenneth Amick 8006-0		7593
. 75	90 11/13/2008		EXAM	INER
JOHN KENNETI	H AMICK		KANG, I	PAUL H
980 N.W. 49th WA	-		ART UNIT	PAPER NUMBER
COCONUT CREE	K, FL 33063		2444 DATE MAILED: 11/13/200	8 .

Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
Nation of Allowability	09/556,439	AMICK, JOHN KENNETH
Notice of Allowability	Examiner	Art Unit
	Paul H. Kang	2144
The MAILING DATE of this communication appearance All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	plication. If not included
1. 🔀 This communication is responsive to the amendment filed.	June 13, 2008.	
2. X The allowed claim(s) is/are 32,34-60 and 62-82.		
 3. ☐ Acknowledgment is made of a claim for foreign priority unalled all bloometons. a) ☐ All bloometons of the company of the company of the company of the priority documents have 		
2. Certified copies of the priority documents have	been received in Application No	<u></u> :
3. Copies of the certified copies of the priority do	_	
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	of this communication to file a reply ENT of this application.	complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give	itted. Note the attached EXAMINER'es reason(s) why the oath or declara	'S AMENDMENT or NOTICE OF tion is deficient.
5. CORRECTED DRAWINGS (as "replacement sheets") mus	t be submitted.	
(a) including changes required by the Notice of Draftspers		948) attached
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date	= ,	·
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the C	office action of
Identifying indicia such as the application number (see 37 CFR 1, each sheet. Replacement sheet(s) should be labeled as such in the	84(c)) should be written on the drawir he header according to 37 CFR 1.121(c	ngs in the front (not the back) of d).
6. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT I		
Attachment(s)		
1. Notice of References Cited (PTO-892)	5. Notice of Informal Pa	
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. Interview Summary	
Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date	Paper No./Mail Dat 7. ⊠ Examiner's Amendn	
Examiner's Comment Regarding Requirement for Deposit of Biological Material		nt of Reasons for Allowance
	9. Other	
/Paul H Kang/ Primary Examiner Art Unit: 2144		

Art Unit: 2144

EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Mr. John Kenneth Amick on September 29, 2008.

- 2. The application has been amended as follows:
 - a. Cancel claims 33 and 61.
 - b. In claim 32, after "no answer condition;" (at the end of claim 32 on page 5, line 5 of the claims submitted June 13, 2008), insert:

"the configuring of step a) further comprises:

associating at least one numerical address of the at least one virtual network call processing system with the at least one second object, the at least one second object managing interactive communications between the at least one calling party and the at least one virtual network call processing system application during a called party no answer condition, the at least one second object having at least one menu of at least one choice to be selected by the at least one calling party;

the managing of step c) further comprises:

communicating the at least one menu of at least one choice to the at least one calling party at least during the called party no answer condition;

receiving the at least one selection from the at least one calling party upon selection by the at least one calling party;

the at least one virtual network call processing system application managing communications between the at least one calling party and the at least one virtual network call processing system application at least during the called party no answer condition, in accordance with at least one selection received from the at least one calling party."

c. In claim 60, after "no answer condition" (at the end of claim 60 on page 13, line 7 of the claims submitted June 13, 2008), insert:

"the configuring of step a) further comprises:

associating at least one numerical address of the at least one virtual network call processing system with the at least one second software construct, the at least one second software construct managing interactive communications between the at least one calling party and the at least one virtual network call processing system application during a called party no answer condition, the at least one second software construct having at least one menu of at least one choice to be selected by the at least one calling party;

the managing of step c) further comprises:

communicating the at least one menu of at least one choice to the at least one calling party at least during the called party no answer condition;

receiving the at least one selection from the at least one calling party upon selection by the at least one calling party;

the at least one virtual network call processing system application managing communications between the at least one calling party and the at least one virtual network call processing system application at least during the called party no answer condition, in accordance with at least one selection received from the at least one calling party."

- d. In claim 35, line 1, replace "claim 33" with "claim 32".
- e. In claim 44, line 1, replace "claim 33" with "claim 32".
- f. In claim 51, line 1, replace "claim 33" with "claim 32".
- g. In claim 52, line 1, replace "claim 33" with "claim 32".
- h. In claim 53, line 1, replace "claim 33" with "claim 32".

Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Paul H. Kang whose telephone number is (571) 272-3882. The examiner can normally be reached on IFP.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Vaughn can be reached on (571) 272-3922. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 09/556,439

Art Unit: 2144

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Paul H Kang/ Primary Examiner Art Unit 2144 Page 5

Doc Code: PET.OP

Document Description: Petition for Review by the Office of Petitions

PTO/SB/64 (06-09)

Approved for use through 07/31/2009. OMB 0651-0311

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137	
First named inventor:	
Application No.:	Art Unit:
Filed: E	Examiner:
Title:	
Attention: Office of Petitions Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 FAX (571) 273-8300	
NOTE: If information or assistance is needed in completing this Information at (571) 272-3282.	is form, please contact Petitions
The above-identified application became abandoned for failure to file a timely United States Patent and Trademark Office. The date of abandonment is the for reply in the office notice or action plus any extensions of time actually obtain	day after the expiration date of the period set
APPLICANT HEREBY PETITIONS FOR REVIVAL OF	F THIS APPLICATION
 NOTE: A grantable petition requires the following items: (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer fee - required for all before June 8, 1995; and for all design applications; an (4) Statement that the entire delay was unintentional 	Il utility and plant applications filed
1. Petition Fee	
Small entity-fee \$(37 CFR 1.17(m)). Application claim	ns small entity status. See 37 CFR 1.27.
Other than small entity-fee \$ (37 CFR 1.17(m))	
Reply and/or fee A. The reply and/or fee to the above-noted Office action in the form of (ide	entify type of reply):
has been filed previously onis enclosed herewith.	•
B. The issue fee and publication fee (if applicable) of \$	
has been paid previously on	
is enclosed herewith. [Page 1 of 2]	

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/64 (06-09)

Approved for use through 07/31/2009. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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3. Terminal disclain	ner with disclaimer fee			
Since this ut	ility/plant application was filed on	or after June 8, 1995, r	no terminal disclaimer is required.	•
	isclaimer (and disclaimer fee (37 of small entity) disclaiming the requi			for S).
grantable petition un require additional inf	he entire delay in filing the require der 37 CFR 1.137(b) was uninten formation if there is a question as (b) was unintentional (MPEP 711	tional. [NOTE: The Unito whether either the	ted States Patent and Trademark abandonment or the delay in filing	Office may
to identity theft. Perso check or credit card au petition or an application should consider reduct advised that the record request in compliance abandoned application (see 37 CFR 1.14). Cl	cautioned to avoid submitting personal information such as social security athorization form PTO-2038 submitted on. If this type of personal information from the dof a patent application is available to with 37 CFR 1.213(a) is made in the amount and also be available to the public if necks and credit card authorization for refore are not publicly available.	y numbers, bank account for payment purposes) is in sincluded in documents e documents before subnite public after publication publication) or issuance of the application is reference.	numbers, or credit card numbers (oth never required by the USPTO to sup- s submitted to the USPTO, petitioner, nitting them to the USPTO. Petitioner on of the application (unless a non-put f a patent. Furthermore, the record from ced in a published application or an i	her than a pport a s/applicants r/applicant is ublication om an ssued patent
	Signature		Date	·
	Type or Printed name		Registration Number, If app	olicable
	Address		Telephone Number	.
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Enclosures:	Fee Payment Reply			
	Terminal Disclaimer Form			
	Additional sheets containing s	_	•	
Dep first 145	CERTIFICATE OF MAILII that this correspondence is being: toosited with the United States Post class mail in an envelope address 0, Alexandria, VA 22313-1450. The smitted by facsimile on the date of the state of th	NG OR TRANSMISSIC tal Service on the date sed to: Mail Stop Petitio	on [37 CFR 1.8(a)] shown below with sufficient poston, Commissioner for Patents, P.	O. Box
Date		S	ignature	•
		Typed or printed nar	me of person signing certificate	

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.